Declaration and Power of Attorney For Patent Application

特許出題宣言書及び委任状

Japanese Language Declaration

日本語官宣書

下記の氏名の元明者として、私は以下の通り宜含します。 As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated 私の住所、私容策、回籍は下記の私の氏名の後に記載され next to my name. た通りです。 I believe I am the original, first and sole inventor (if only one name 下配の名称の元明に関して頑求範囲に記載され、特許出真 is listed below) or an original, first and joint inventor (if plural している発明内容について、私が最初かつ唯一の発明者(下 names are listed below) of the subject matter which is claimed and 配の氏名が一つの場合) もしくは最初かつ共商元明者である for which a patent is sought on the invention entitled と(下記の名称が理数の場合)信じています。 MAGNETIC HEAD DEVICE the specification of which is attached hereto unless the following 上記発用の明細音(下記の描でx印がついていない場合は、 haz is checked: 本客に添付)は、 □ _月_日に提出され、米回出頭番号さたに特許協定条約 was filed on _ March 3. 1998 as United States Application Number or 国際出頭番号を____とし、 PCT International Application Number (弦当する場合) _____ に訂正されました。 08/033,789 and was amended on (if applicable). I hereby state that I have reviewed and understand the contents of

Section 1.58.

the above identified specification, including the claims, as

I acknowledge the duty to disclose information which is material to

patentability as defined in Title 37. Code of Federal Regulations,

amended by any amendment referred to above.

私は、特許請求範囲を含む上記訂正径の明細書を検討し、

私は、運邦規則法典第37編第1条56項に定義されると

おり、特許交格の有無について重要な情報を開示する差易が

内容を理解していることをここに表明します。

あることを思めます。

Japan se Language Declaration (日本語宣言客)

五辻、米国浩典第35年119条(a)-(d) 項又は365条(b) 項に基ま下記の、米国以外の国の少なくとも一ヶ国を指定している特許協力条約365(a) 項に基ずく国際出車、又は外国での特許出車もしくは元明者証の出面についての外国優先権をここに主要するとともに、優先権を主張している、本出車の前に出車された特許または元明者証の外国出頭を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

| 外国での先行出 | 9 | 3 | 7 | O | 先 | F | ш | 1 |
|---------|---|---|---|---|---|---|---|---|
|---------|---|---|---|---|---|---|---|---|

| JAPAN | | |
|-----------|--|--|
| (Country) | | |
| (国名) | | |
| JAPAN | | |
| (Country) | | |
| (国名) | | |
| JAPAN | | |
| | | |

利は、第35篇米国法典119条(e)項に基いて下記の米国特許出盟規定に記載された推利をここに主張いたします。

(Application No.) (Filing Date) (巴夏子) (巴夏日)

私は、下記の米国法典第35至120条に基いて下記の米国特許出資に記載された推制。又は米国を指定している特許協力条約365条(c)に基づく福利をここに主張します。また、本出題の各項求範囲の内容が米国法典第35至112条第1項又は特許協力条約で規定された方法で先行する米国特許出頭に紹示されていない限り、その先行米国出頭普通出日以降で本出頭書の日本国内主たは特許協力条約国際提出日までの期間中に入手された、運邦規則法典第37至1条56項で定義された特許資格の有無に関する重要な情報について関示義務があることを認識しています。

(Application No.) (Filing Date) (出版書) (出版書) (出版書) (出版書) (出版書) (出版書)

私は、私自身の知識に基于いて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基于く表明が全て真実であると信じていること、さらに故意になされた重偽の表明及びそれと同等の行為は米国法典第18第第1001条に基于さ、罰金宝たは拘禁、もしくはその同方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出頭した、又は底に許可された特許の有効性が失われることを異常し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 38, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed 優先権主要なし

04/03/1997 (Day/Month/Year Filed) (出版年月日) 10/11/1997 (Day/Month/Year Filed) (出版年月日) 02/03/1998

I hereby claim the benefit under Title 38, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date) (出題국)

I hereby claim the benefit under Title 36, United States Code, Section 120 of any United States application(s), or 385(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 36, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filling date of application and the national or PCT international filling date of application.

(Status: Patented, Pending, Abandoned) (現況:特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned) (現記: 特許許可诱、係属中、故葉済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on informati n and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Languag Declaration (三全宣宣音本目)

会伝状: 私は下至の元明者として、本出頭に基する一切の 手続きを米特許領極局に対して並行する弁理士生たに代理人 として、下立の者を担名いたします。(弁理士、主たは代理 人の氏名正び王母音号を明正のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (that name and registration number)

Stephen H. Frishauf, Reg. No. 16,233; Leonard Holtz, Reg. No. 22,974;

Herbert Goodman, Reg. No. 17,081; Thomas Langer, Reg. No. 27,264; Marshall J. Chick, Reg. No. 26,853; Richard S. Barth, Reg. No. 28,180; Douglas Holtz, Reg. No. 33,902; and Robert P. Michal, Reg. No. 35,614.

普通兰州先

Send Correspondence to:

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C. 767 Third Avenue - 25th Floor New York, New York 10017

直接革政正義共:(名前及び軍監督号)

Direct Telephone Calls to: (name and telephone number)

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C. 767 Third Avenue - 25th Floor Tel.: (212) 319-4900 Fax.: (212) 319-5101

New York, New York 10017

| tー生たに第一元明者名 | | Full name of sole or frat Inventor Masashi SHIRAISHI | | | |
|-------------|----|--|--|--|--|
| き明者の著名 | 日付 | Macushi Shraishi March 13, 1998 | | | |
| 主 乔 | | Residence 199-22, Mitsuzuka, Saku-shi, Nagano-ken, JAPAN | | | |
| 3 月 | | JAPANESE | | | |
| 친종개 | | For Crice Address Same as the above | | | |
| | | | | | |
| 第二共同元明者 | | Full name of second joint inventor, if any I zumi NOMURA | | | |
| 第二共同录明者 | 日付 | Second Inventor's signature Date Limi Romura March 13, 1998 | | | |
| 生所 | | Residence 3-4-3C, Nishimizue, Edogawa-ku, Tokyo, JAPAN | | | |
| G# | | JAPANESE | | | |
| 私言雅 | | Post Cifica Address Same as the above | | | |
| | | | | | |

(第三以降の共同元明者についても同様に記載し、署名をす

ろこと)

(Supply similar information and signature for third and subsequent jeint inventors.)

Japanese Language D claration

| | | Full name of third joint invent r, if any |
|--|-------------|---|
| | | Tsutomu AOYAMA |
| | 日付 | Third Inventor's signature Dat |
| | - H | Jatom Ageme March 13,199 |
| 住所 | | Residence 2-19-18, Minamioono, Ichikawa-shi, |
| ± <i>m</i> | | Chiba-ken, JAPAN |
| 3 11 | | Citizenship |
| 三精 | | JAPANESE |
| 郵便の宛先 | | Post Office Address |
| 到後の残れ | | Same as the above |
| | | |
| | | Full name of fourth joint inventor, if any |
| | | Isamu SATO |
| | 日付 | Fourth Inventor's signature Date Lamu Sato March 13,1998 |
| 住所 | | Residence 7-24-6, Shakujiidai, Nerima-ku, Tokyo, JAPAN |
| 国籍 | | Citizenship |
| | | JAPANESE |
| 郵便の宛先 | | Post Office Address |
| | | Same as the above |
| | | |
| | | Full name of fifth joint inventor, if any |
| | | Masanori SAKAI |
| | 日付 | Masanori SAKAI Fifth Inventor's signature Dat |
| | | Maranon Shar March 13, 1998 |
| 住所 | | Residence 4108-1584, Miyota, Miyotamachi, |
| | | Kitasaku-gun, Nagano-ken, JAPAN |
| 国籍 | | Citizenship |
| | | JAPANESE |
| 郵便の宛先 | | Post Office Address |
| | | Same as the above |
| | | |
| ······································ | | Sixth Inventor's signature Date |
| | | Tsuyoshi UMEHARA March 13,1998 |

| | | Sixth Inventor's signature Date |
|-------|----|---|
| | | Tsuyoshi UMEHARA March 13,1998 |
| | 日付 | Full name of sixth joint inventor, it any |
| 住所 | | Residence TDK Kinka Domitory, 2084, Nakagomi Ooaza, Saku-shi, Nagano-ken, JAPAN |
| 国籍 | | Citizenship JAPANESE |
| 郵便の宛先 | | Post Office Address Same as the above |
| | | |

(第六またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)

Page 4 of _5_

Japanese Languag D claration Full name of 7th joint inventor, if any Kenichi TAKANO 7th Inventor's signature 日付 Ken-ichi Takano March 13, 1998 Residence Forble Wakamiya 202, 1917-6, Iwamurada, 住所 <u>Saku-shi, Nagano-ken, JAPAN</u> Citizenship 国籍 JAPANESE Post Office Address 郵便の宛先 Same as the above Full name of 8th joint inventor, if any Haruyuki MORITA 8th . Inventor's signature 日付 Harrynki Morito, March 13, 1998 Residence 1937-5, Mikage-Shinden, Komoro-shi 住所 Nagano-ken, JAPAN 国籍 Citizenship **JAPANESE** Post Office Address 郵便の宛先 Same as the above Full name of 9th joint inventor, if any 9th Inventor's signature 住所 Residence Citizenship 国籍 郵便の宛先 Post Office Address 10th Inventor's signature Date Full name of 10thjoint inventor, if any 日付 Residence 住所 Citizenship 国籍 郵便の宛先 Post Office Address (第六またはそれ以降の共同発明者に対しても同様な情 (Supply similar information and signature for third and subsequent joint inventors.) 報および署名を提供すること。)

Page 5of _5_

Docket No. <u>980110D/TL</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Masashi SHIRAISHI et al

Serial No. : To be assigned (Division of

USSN 09/033,789 filed

March 3, 1998)

Filed : Concurrently herewith

For : MAGNETIC HEAD DEVICE

Art Unit :

Examiner

SUPPLEMENTAL DECLARATION

This application is entitled to the benefit of the filing of an original earlier filed United States application.

As a below-named inventor in the above-identified application, I declare that the subject matter of the claims, as filed with this application, was part of my invention and was invented before the filing of the original earlier filed application.

Acknowledgement of Review of Papers and Duty of Candor

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims of this application referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I furth r declare that all statements made herein of my own knowledge are true and that all stat ments made on information and belief are believed to be true; and furth r that these statements were made with the knowledge that willful false stat ments and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Date: Jan. 26. 2000 | Masashi Shiraishi |
|----------------------|-------------------|
| | Masashi SHIRAISHI |
| Date: Jan. 26, 2000 | Izumi Tromuna |
| | Izumi NOMURA |
| Date: Jan. 26, 2000. | Testom, Donounce |
| | Tsutomu AOYAMA |
| Date: Jan. 26. 2000. | Isamu Sato |
| | Isamu SATO |
| Date: Jon. 26. 2000 | Masanori Sakai |
| | Masanori SAKAI |
| Date: Jan. 26. 2000 | |
| | Tsuyoshi UMEHARA |
| Date: Jan, 26. 2000 | Kenichi Takano |
| | Kenichi TAKANO |
| Date: Jan. 26, 2000 | Haruyuki Morita. |
| | Haruyuki MORITA |



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER

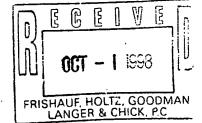
ASSISTANT SECRETARY AND CUMMISSIONE OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SEPTEMBER 25, 1998

PTAS

FRISHAUF, HOLTZ, GOODMAN, LANGER, ET AL THOMAS LANGER 767 THIRD AVENUE, 25TH FLOOR NEW YORK, NEW YORK 10017-2023





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RECORDATION DATE: 06/23/1998

REEL/FRAME: 9312/0832

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SHIRAISHI, MASASHI

DOC DATE: 03/13/1998

ASSIGNOR:

NOMURA, IZUMI

DOC DATE: 03/13/1998

ASSIGNOR:

AOYAMA, TSUTOMU

DOC DATE: 03/13/1998

ASSIGNOR:

SATO, ISAMU

DOC DATE: 03/13/1998

ASSIGNOR:

SAKAI, MASANORI

DOC DATE: 03/13/1998

ASSIGNOR:

UMEHARA, TSUYOSHI

DOC DATE: 03/13/1998

ASSIGNOR:

TAKANO, KENICHI

DOC DATE: 03/13/1998



9312/0832 PAGE 2

ASSIGNOR:

MORITA, HARUYUKI DOC DATE: 03/13/1998

ASSIGNEE:

TDK CORPORATION 13-1, NIHONBASHI 1-CHOME, CHUO-KU TOKYO 103, JAPAN

SERIAL NUMBER: 09033789

PATENT NUMBER:

FILING DATE: 03/03/1998

ISSUE DATE:

PAULA MCCRAY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



To the Honorable Commission r of Pat nts and Trad marks: Pl as record

The first of the f

1. Name of onv ying party(i s):

Masashi SHIRAISHI Izumi NOMURA Tsutomu AOYAMA Isamu SATO Masanori SAKAI Tsuyoshi UMEHARA Kenichi TAKANO Haruyuki MORITA

2. Nam and address of receiving partyfies):

TDK CORPORATION

of: 13-1, Nihonbashi 1-Chome, Chuo-Ku, Tokyo 103, Japan



3. Nature of conveyance: - ASSIGNMENT

Execution Date(s): All on March 13, 1998.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is as follows:

Execution Date(s): All on March 13, 1998.

A. Patent Application No. (s)

B. Patent No.(s)

09/033,789 filed March 3, 1998

5. Name and address of party to whom correspondence concerning document should be mailed:

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C. 767 Third Avenue, 25th Floor New York, New York 10017-2023

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

07/20/1998 DCDATES 00000051 09033789

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٧.

40.00 OP

[] Amount included with filing fee attached hereto.

[X] Check enclosed.

8. To the extent not tendered by check, authorization is given to charge the Assignment Fee (37 CFR.341) to Account No. 06-1378.

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the

original document.

Dated: June 23, 1998

Thomas Langer

Reg. No. 27,264

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date noted below.

Dated: <u>June 23, 1998</u>

Attorney: Inomas Langer /

Total number of pages including cover sheet, attachments and document: <u>Two (2)</u>

ASSIGNMENT

In consideration of value received, I, having a residence and post office address as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of an invention described in an application for United States patent entitled:

MAGNETIC HEAD DEVICE

sell and assign to

. TDK CORPORATION

a corporation of

JAPAN

having a business address at 13-1, Nihonbashi 1-Chome, Chuo-Ku, Tokyo 103, JAPAN

its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent executed concurrently herewith;

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty it is to issue patents, to issue any patent on said invention or resulting therefrom to said Assignee, and I agree that on request and without further consideration, but at the expense of said Assignee, I will communicate to said Assignee or its representatives all facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, or other applications, make all rightful oaths and declarations, and generally do everything possible to aid said Assignee to obtain and enforce proper patent protection for said invention in all countries.

I hereby grant to any attorney member of the following law firm the power to insert on this Assignment the Serial Number and filing date of said application when known.

SERIAL NO .:

08/033,789

FILING DATE:

March 3, 1998

Frishauf, Holtz, Goodman, Langer & Chick, P.C., 767 Third Avenue - 25th Floor, New York, N.Y. 10017-2023.

RESIDENCE AND POST OFFICE ADDRESS DATE **INVENTOR: SIGNATURE** Post Office Address: Slan: Masashi Shiraishi 199-22, Mitsuzuka, Saku-shi, Nagano-ken, JAPAN Type: Masashi SHIRAISHI Post Office Address: Date: Sign: 3-4-3C, Nishimizue, Edogawa-ku, Tokyo, March 13, 1998 JAPAN Type: Witness: Izumi NOMURA Satosh Kosa Kai Post Office Address: Date: Sign: 2-19-18, Minamioono, Ichikawa-shi, March 13, 1998 Chiba-ken, JAPAN Witness: Tsutomu AOYAMA Satoshi Kosakai

NOTES: MUST BE DATED.

WITNESS DESIRABLE.

LEGALIZATION NOT REQUIRED.

ASSIGNMENT

| INVENTOR:SIGNATURE | DATE | RESIDENCE AND POST OFFICE ADDRESS |
|----------------------------|-----------------------------|--|
| 4 | Date: | Post Office Address: |
| Son Isamu Sato | March 13, 1998 | 7-24-6, Shakujiidai, Nerima-ku, Tokyo, JAPAN |
| Type: Isamu SATO | Warnesse: Satosh Kosakai | · |
| Maron Sahai | Date: March 13, 1998 | Post Office Address: 4108-1584, Miyota, Miyotamachi, Kitasaku-gun, Nagano-ken, JAPAN |
| Type: Masanori SAKAI | Gumi Hato | |
| Sign: Tsurjoshi Umehora | Date: March 13, 1998 | Post Office Address: TDK Kinka Domitory, 2084, Nakagomi, Ooaza, Saku-shi, Nagano-ken, JAPAN |
| Type: Tsuyoshi UMEHARA | Tumi hato | |
| Sign: | Date: | Post Office Address: |
| Ken-ichi Talcano | March 13, 1998 | Forble Wakamiya 202, 1917-6, Iwamurad Saku-shi, Nagano-ken, JAPAN |
| Type: Kenichi TAKANO | Izumu Hato | |
| Sign: | Date: | Post Office Address: |
| Haruguki MoriTo. | March 13,1998 | 1937-5, Mikage-Shinden, Komoro-shi, Nagano-ken, JAPAN |
| Type: Haruyuki MORITA | Prime Kato | - |
| Sign: | Date: | Post Office Address: |
| Туре: | | Post Office Address: |
| Sign: | Date: | |
| Туре: | Witness: | |
| Sign | Date: | Post Office Address: |
| Туре: | Witness: | |